



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Hillman et al.

Application No.: 09/811,226

Filed: March 16, 2001

For: A Scalable Directory, Master And  
Slave Server Based Architecture  
For Hosting Application Services

Examiner: Not yet assigned

Art Group: 2151

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: AUGUST 20, 2001Name of Person Mailing: MICHAEL TURNERSignature: [Signature] Date: 8.20.01

Box MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION (FILING DATE GRANTED)**

Sir:

Enclosed for filing in the above-referenced patent application in response to the Notice to File Missing Parts of Nonprovisional Application dated June 18, 2001, are the following:

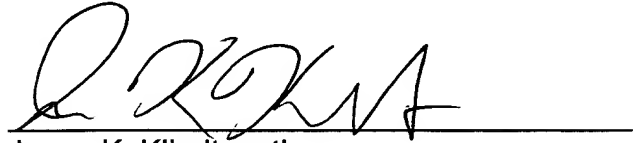
- (1) A check in the amount of \$65.00;
- (2) A duly executed Declaration and Power of Attorney; and
- (3) A copy of the Notice to File Missing Parts;

The Commissioner is hereby authorized to charge shortages or credit

overpayments to Deposit Account No. 501569. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

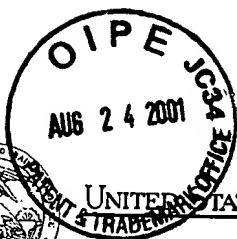
Respectfully submitted,  
COLUMBIA IP LAW GROUP, PC

Dated: 8/20, 2001



Jason K. Klindtworth  
Registration No. 47,211

4900 SW Meadows Road, Suite 109  
Lake Oswego, Oregon 97035  
503-534-2800



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,226	03/16/2001	Matthew F. Hillman	41023.P002

CONFIRMATION NO. 3905

000025943

COLUMBIA IP LAW GROUP, PC  
4900 SW MEADOWS ROAD, SUITE 109  
LAKE OSWEGO, OR 97035

FORMALITIES LETTER



\*OC000000006192024\*

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice MUST be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/27/2001 SSESHE1 00000012 09811226  
01 FC:205 65.00 0P



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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application Number	09/811,226
Filing Date	March 16, 2001
First Named Inventor	Matthew F. Hillman
Group Art Unit	2151
Examiner Name	Not yet assigned
Attorney Docket Number	41023.P002

## ENCLOSURES

(check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Signed Decl/POA (4 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Check #5233 in the amount of \$65.00 for Missing Parts Fee
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		4. Copy of Notice to File Missing Parts of NonProv. Application (1 pg.)
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jason K. Klindtworth COLUMBIA IP LAW GROUP, PC
Signature	
Date	August 20, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 20, 2001

Typed or printed name	Michelle J. Turner
Signature	
Date	8/20/01

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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 65.00

### Complete if Known

Application Number	09/811,226
Filing Date	March 16, 2001
First Named Inventor	Matthew F. Hillman
Examiner Name	Not yet assigned
Group Art Unit	2151
Attorney Docket No.	41023.P002

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge ~~the fee~~ ~~and~~ credit any overpayments to:

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Deposit  
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Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17



Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355			Utility filing fee	
106	320	206	160			Design filing fee	
107	490	207	245			Plant filing fee	
108	710	208	355			Reissue filing fee	
114	150	214	75			Provisional filing fee	

SUBTOTAL (1) (\$ )

#### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/> -20**= <input type="text"/>	<input type="text"/> X <input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/> -3**= <input type="text"/>	<input type="text"/> X <input type="text"/>	= <input type="text"/>
Multiple Dependent	<input type="text"/>	<input type="text"/>	= <input type="text"/>

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9			Claims in Excess of 20
102	80	202	40			Independent claims in excess of 3
104	270	204	135			Multiple dependent claim, if not paid
109	80	209	40			** Reissue independent claims over original patent
110	18	210	9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00

### SUBMITTED BY

Name (Print/Type)

Jason K. Klindtworth

Registration No.  
(Attorney/Agent)

47,211

### Complete (if applicable)

Telephone

503-534-2800

Signature

*Jason K. Klindtworth*

Date

8/20/01

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Columbia IP Law Group, PC

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Independent Claims	-3**=	X	=
Multiple Dependent			=

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Registration No.  
(Attorney/Agent)

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Telephone

503-534-2800

Signature

*Jason K. Klindtworth*

Date

8/20/01

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